

## **NEFTC Self-Led Follow Up**

There are 79 Key Components identified in the National Evaluation of Family Treatment Courts (NEFTC), each relating to the <u>Family Treatment Court (FTC) Best Practices</u>. Use this guide when reviewing the NEFTC results to start a discussion with the FTC team about next steps toward meeting Best Practices.

Key Component	Description	Corresponding Best Practice	Follow Up Questions
1.1	Treatment court has a Memorandum of Understanding (MOU) in place between the treatment court team members (and/or the associated agencies)	Standard  1B (p. 12, 17-18)  1F (p. 13, 20-22)  1J (p. 15, 27-28)	<ul> <li>How have relationships between the FTC and partner agencies been established?</li> <li>How could the team formalize these relationships in writing? Who needs to be involved?</li> </ul>
1.1a	MOU specifies team member roles	1B (p. 12, 17-18) 1C (p. 12, 18-19)	<ul><li>What does the MOU specify?</li><li>How can the roles and information sharing</li></ul>
1.1b	MOU specifies what information will be shared	1F (p. 13, 20-22)	procedures be added to the MOUs?
1.2	Treatment court has a written policy and procedure manual	1I (p. 14, 26-27)	<ul> <li>How are policies and procedures documented for the treatment court?</li> <li>Who can collect these policies and procedures and put them into writing?</li> </ul>

1.3	All key team members attend pre-court team meetings (staffings) (judge, state's/child attorney or guardian ad litem, parent/defense attorney, parent and child treatment and service providers, program coordinator, supervision/law enforcement, child welfare case worker, CASA, recovery support specialist, cultural advisor(s))	1C (p. 12, 18-19) 1J (p. 15, 27-28)	<ul> <li>Of the positions listed above, who is missing from the team at staffing?</li> <li>Why are these roles missing?</li> <li>Are there roles in the community that should be at staffing?</li> <li>Are there roles from surrounding communities, including local Tribes, that should be at staffing?</li> <li>Where is contact information for these additional roles found?</li> </ul>
1.4	All key team members attend court sessions/status review hearings (judge, state's/child attorney or guardian ad litem, parent/defense attorney, parent and child treatment and service providers, program coordinator, supervision/law enforcement, child welfare case worker, CASA, recovery support specialist, cultural advisor(s))	1C (p. 12, 18-19) 1J (p. 15, 27-28)	<ul> <li>Of the positions listed above, who is missing from the team at court?</li> <li>Why are these roles missing?</li> <li>Are there roles in the community that should be at court?</li> <li>Are there roles from surrounding communities, including local Tribes, that should be at court?</li> <li>Where is contact information for these additional roles found?</li> </ul>
1.5	Treatment communicates with court via email	1F (p.13, 20-22)	<ul> <li>In what ways do the treatment providers communicate (e.g., in-person only at staffings, over the phone, paper mail, etc.)?</li> <li>What prevents email communication?</li> <li>Can something be done to address these barriers?</li> </ul>
2.1	A state's attorney and/or child attorney/guardian ad litem attends pre-court team meetings (staffings)	1C (p. 12, 18-19) 1J (p. 15, 27-28)	<ul><li>What barriers are there to these roles attending staffings?</li><li>What can be done to address these barriers?</li></ul>

2.2	A state's attorney and/or child attorney/guardian ad litem attends court sessions (status review hearings)	1C (p. 12, 18-19) 1J (p. 15, 27-28)	<ul><li>What barriers are there to these roles attending hearings?</li><li>What can be done to address these barriers?</li></ul>
2.3	A defense/parent attorney attends pre-court team meetings (staffings)	1C (p. 12, 18-19) 1J (p. 15, 27-28)	<ul><li>What barriers are there to this role attending staffings?</li><li>What can be done to address these barriers?</li></ul>
2.4	A defense/parent attorney attends court sessions (status review hearings)	1C (p. 12, 18-19) 1J (p. 15, 27-28)	<ul><li>What barriers are there to this role attending hearings?</li><li>What can be done to address these barriers?</li></ul>
3.1	The time between child welfare case opening/substantiated allegation and treatment court program entry is 50 days or less	4B (p. 62, 66-68)	<ul> <li>How many days, on average, are there between a substantiated allegation and treatment court program entry?</li> <li>What decision points in this process impact the timeliness?</li> <li>Is there anything the team can do to reduce this timeline?</li> </ul>
3.2	Current treatment court caseload/census (number of individuals actively participating at any one time) is less than 125	Based on Adult Treatment Court Research	<ul> <li>What is the current treatment court caseload?</li> <li>How is the caseload determined, and are enrollment numbers routinely reviewed?</li> <li>What would it take to reduce the caseload while still serving families?</li> </ul>

3.3	The treatment court has written eligibility criteria	3A (p. 42, 43-45) 4A (p. 62, 64-66)	<ul> <li>How is eligibility for the treatment court determined?</li> <li>Are these eligibility criteria objective?</li> <li>Where could the eligibility criteria be recorded (e.g., procedures and policies manual, handbook, etc.)?</li> <li>How often will the written eligibility criteria be reviewed?</li> </ul>
3.4	The treatment court accepts individuals with serious mental health diagnoses, as long as appropriate treatment is available	5D (p. 77, 84-84)	<ul> <li>What has prevented the team from accepting individuals with serious mental health diagnoses?</li> <li>What could be done in the area to make this a viable option for the team?         <ul> <li>E.g., policy change, additional providers, something else</li> </ul> </li> <li>Does the team have community contacts that can work toward this?</li> </ul>
3.5	The treatment court accepts individuals who are using medications to treat a substance use disorder	5J (p. 79, 95-97)	<ul> <li>What prevents the team from accepting parents who are using MAT?</li> <li>Would the team accept parents using MAT if these barriers are addressed?</li> <li>What resources are needed to accept parents using medications to treat a substance use disorder?</li> </ul>

3.6	Treatment court uses validated, standardized assessment tool(s) to determine parent eligibility	4B (p. 62, 66-68) 4C (p.62, 68-69)	<ul> <li>How is eligibility for the treatment court determined?</li> <li>Are the eligibility criteria objective?</li> <li>Are the eligibility criteria standardized?</li> <li>Could the team begin using a validated, standardized assessment tool to determine parent eligibility?</li> </ul>
3.7	Participants are given a participant handbook upon entering the treatment court program	1 (p. 11) Throughout Best Practices	<ul> <li>Is there a participant handbook?         <ul> <li>If no, what is required to create one?</li> <li>If yes, where is the handbook available? Could it be provided to participants?</li> </ul> </li> <li>Are participants given materials that could be considered a handbook?</li> <li>How often are these materials reviewed, and by whom?</li> </ul>
4.1	The treatment court uses no more than two treatment agencies to provide treatment for a majority of participants or a single agency/individual provides oversight for any other treatment agencies treating treatment court participants	5I (p. 93-95) Adult Drug Court 1 V. C. (p. 39, 42)	<ul> <li>How many treatment agencies are used?</li> <li>What determines the number of treatment agencies used?</li> <li>What would happen if this number is reduced?</li> <li>Are there any co-treatment facilities in the community that are/can be used?</li> </ul>

4.2	The treatment court requires participants to meet individually with a treatment provider or clinical case manager weekly in the first phase of the program	6A (p. 114, 117- 120)	<ul> <li>Do participants meet with a treatment provider or clinical case manager at all while enrolled in the treatment court?</li> <li>If yes, what would it take to increase the frequency to at least weekly?</li> <li>If no, are there members on the team that fit this role and could meet with participants?</li> </ul>
4.3	The treatment court offers or makes referrals to a full continuum of care for substance use disorder treatment (detoxification, outpatient, intensive outpatient, medication assisted, residential)	5C (p. 77, 82-84)	<ul> <li>Which of the levels of care for substance use disorder treatment are not currently offered/referred to?</li> <li>What barriers prevent offering/referring to these services?</li> <li>Is there anything the team can do to address these barriers?</li> <li>Is there anyone in the community that is actively trying to address these barriers, or that should be addressing these barriers?</li> </ul>
4.4	Treatment court uses validated, standardized assessment tool(s) to determine level and type of services needed for parents and children	4C (p. 62, 68-69) 4D (p. 62, 70-71)	<ul> <li>How are level and type of services needed for parents determined?</li> <li>How are level and type of services needed for children determined?</li> <li>How can the team encourage the use of validated, standardized assessment tool(s)?</li> </ul>
4.5	Participants and their families are involved in developing their case plan	6B (p. 114, 120- 121)	<ul> <li>How do you view family involvement in case planning? What would this look like?</li> <li>Are there barriers that prevent family involvement?</li> <li>What are ways to increase family involvement in case planning?</li> </ul>

4.6	Participants with co-occurring disorders are connected to coordinated treatment	5D (p. 77, 84-85) 6A (114, 117-120)	<ul> <li>Are parents with co-occurring disorders accepted into the treatment court?</li> <li>How are participants connected to treatment options?</li> <li>Are there coordinated treatment options elsewhere?</li> </ul>
4.7	Treatment providers administer evidence- based, manualized behavioral or cognitive- behavioral treatments	5l p. 78, 93-95)	<ul> <li>How is information about the treatments administered shared with the treatment court?</li> <li>How can the team encourage the use of evidence-based manualized behavioral or cognitive-behavioral treatments by treatment providers?</li> <li>Are there additional providers in the community that do provide evidence-based manualized behavioral or cognitive-behavioral treatments?</li> </ul>
4.8	The treatment court connects participants with a recovery coach or peer support specialist	6C (p. 114, 121- 123)	<ul> <li>Are participants connected with this type of resource elsewhere?</li> <li>What are some ways to encourage this connection?</li> <li>If there are no recovery coaches or peer support specialists in the area, is there anything the team can do to help establish this?</li> <li>Are there any community resources that could be leveraged?</li> </ul>

4.9	The treatment court offers or makes referrals to gender specific services	1H (p. 14, 23-26) 3C (p. 42, 50-51) 5F (p. 78, 88-89) 6I (p. 116, 132- 134) 7B (p. 150, 154)	<ul> <li>What has prevented these services from being offered?</li> <li>How could the team address these barriers?</li> </ul>
4.10	The treatment court offers or makes referrals to mental health treatment	5D (p. 77, 84-85)	<ul><li>What has prevented these services from being offered?</li><li>How could the team address these barriers?</li></ul>
4.11	The treatment court offers or makes referrals to parenting classes	6E (p. 115, 126- 127)	<ul><li>What has prevented these services from being offered?</li><li>How could the team address these barriers?</li></ul>
4.12	The treatment court offers or makes referrals to services to meet the needs of pregnant women	5G (p. 78, 89-91)	<ul><li>What has prevented these services from being offered?</li><li>How could the team address these barriers?</li></ul>
4.13	The treatment court offers or makes referrals to domestic relations counseling	6 (p. 113-137) Adult Drug Court 2 VI. H. (p. 7, 15-16)	<ul><li>What has prevented these services from being offered?</li><li>How could the team address these barriers?</li></ul>
4.14	The treatment court offers or makes referrals to family centered services	6 (p. 113-137)	<ul><li>What has prevented these services from being offered?</li><li>How could the team address these barriers?</li></ul>
4.15	The treatment court offers or makes referrals to medical health care	6l (p. 116, 132- 134)	<ul><li>What has prevented these services from being offered?</li><li>How could the team address these barriers?</li></ul>
4.16	The treatment court offers or makes referrals to dental care	6l (p. 116, 132- 134)	<ul><li>What has prevented these services from being offered?</li><li>How could the team address these barriers?</li></ul>

4.17	The treatment court offers or makes referrals to anger management classes	6 (p. 113-137) Adult Drug Court 2 VI. F. (p. 6, 13-15)	<ul><li>What has prevented these services from being offered?</li><li>How could the team address these barriers?</li></ul>
4.18	The treatment court offers or makes referrals to housing assistance	6l (p. 116, 132- 134)	<ul><li>What has prevented these services from being offered?</li><li>How could the team address these barriers?</li></ul>
4.19	The treatment court offers or makes referrals to trauma-related services	1H (p. 14, 23-26) 6G (p. 115, 129- 130)	<ul> <li>Are participants assessed for trauma or the need for trauma-related services?</li> <li>What trauma-related services are available in the community?</li> <li>What has prevented these services from being offered to participants?</li> <li>How could the team address these barriers?</li> </ul>
4.20	The treatment court offers or makes referrals to criminal thinking interventions	6 (p. 113-137) Adult Drug Court 2 VI. G. (p. 7, 15)	<ul><li>What has prevented these services from being offered?</li><li>How could the team address these barriers?</li></ul>
4.21	The treatment court offers or makes referrals to relapse prevention services	5B (p. 77, 81-82)	<ul><li>What has prevented these services from being offered?</li><li>How could the team address these barriers?</li></ul>
4.22	The treatment court offers or makes referrals to treatment and other services for participants' children	4D (p. 62-63, 70) 6G (p. 115, 129- 130) 6H (p. 115-116, 131-132) 6K (p. 116, 136- 137)	<ul> <li>Is there anyone not currently on the team that should be part of the discussion to add services for children?</li> <li>What has prevented services from being offered to participants' children?</li> <li>How could the team address these barriers?</li> </ul>

4.23	The treatment court offers assistance with childcare while participants are in treatment or in court (or participating in other treatment court requirements)	5E (p. 78, 85-87) 5F (p. 78, 88-89) 6I (p. 116, 132- 134)	<ul> <li>What prevents the court from offering assistance with childcare?</li> <li>Are there community connections that could be leveraged?</li> <li>What other steps could the team take to address these barriers?</li> </ul>
4.24	The treatment court offers or makes referrals to legally prescribed medication assisted treatment for substance use disorders (MAT)	5J (p. 79, 95-97)	<ul><li>What has prevented these services from being offered?</li><li>How could the team address these barriers?</li></ul>
4.25	The minimum length of the treatment court program is 12 months or more	5C (p. 82-84)	<ul> <li>What is the minimum length of the treatment court program?</li> <li>On average, how long are participants spending in the program?</li> <li>How would extending the length of the program to 12 months help/hinder the participant?</li> </ul>
4.26	Treatment providers are licensed or certified to deliver substance use disorder treatment	5L (p. 79, 99-100)	<ul> <li>Are there other providers in the community that are licensed or certified?</li> <li>How can the team encourage or require referrals to licensed or certified treatment providers?</li> </ul>
4.27	Treatment providers have training and/or experience working with a justice involved population	5L (p. 79, 99-100)	<ul> <li>Is this type of training available to the team in general?</li> <li>How can the team encourage this training for treatment providers?</li> <li>What additional resources are needed to provide this training?</li> </ul>

4.28	The treatment court program has processes in place to ensure the quality and accountability of the treatment provider	5L (p. 79, 99-100)	<ul> <li>How does the treatment court review treatment provider(s)?</li> <li>Who is involved in the review process?</li> <li>How often should providers be reviewed?</li> </ul>
5.1	Drug testing is random/unpredictable	5K (p. 79, 97-99)	<ul> <li>Why is it that drug testing is on a regular schedule?</li> <li>What has prevented drug testing from being random?</li> <li>Are there other providers in the community that could provide random/unpredictable drug testing?</li> </ul>
5.2	Drug testing occurs on weekends/holidays	5K (p. 79, 97-99)	<ul> <li>What prevents drug testing from occurring on weekends/holidays?</li> <li>What can the treatment court do to encourage testing on weekends/holidays?</li> </ul>
5.3	Collection of test specimens is witnessed directly by staff	5K (p. 79, 97-99)	<ul> <li>What prevents the direct observation of drug testing?</li> <li>How can the treatment court encourage this practice?</li> </ul>
5.4	Staff who collect drug testing specimens are trained in appropriate collection protocols	5K (p. 79, 97-99)	<ul><li>Is this type of training available to staff who collect drug testing specimens?</li><li>What has prevented this training?</li></ul>

5.5	Drug test results are back in 2 days or less	5K (p. 79, 97-99)	<ul> <li>How long does it generally take for drug test results to come back?</li> <li>What dictates this timeline?</li> <li>What can the treatment court do to speed up this process?</li> <li>Are there things that could be done that are outside the scope of the treatment court?</li> </ul>
5.6	Drug tests are collected at least 2 times per week	5K (p. 79, 97-99)	<ul> <li>How often are drug tests collected?</li> <li>What can the treatment court do to encourage additional drug test collections?</li> </ul>
5.7	Participants are expected to have greater than 90 days of negative drug tests before graduation	Based on Drug Court research	<ul> <li>Are participants expected to have any time period of negative drug tests before graduation?</li> <li>How would adding this requirement impact the participants/program?</li> </ul>
6.1	The treatment court offers benefits for participants to enter and graduate from the program such as increasing the likelihood of reunification or increased access to services	4B (p. 62, 66-68 Overall benefit of FTCs	<ul> <li>What are the benefits for a participant to enter and graduate from the program, if any?</li> <li>Why isn't increased likelihood of reunification or increased access to services a current benefit?</li> </ul>
6.2	Sanctions are imposed immediately after non- compliant behavior (e.g., treatment court will impose sanctions in advance of a client's regularly scheduled court hearing)	7I (p. 151, 162- 163)	<ul> <li>When are sanctions imposed?</li> <li>Typically, how long after non-compliant behavior is this?</li> <li>What could be done for an immediate sanction?</li> </ul>

6.3	Team members are given a written copy of the incentive and sanction guidelines	7E (p. 151, 158- 160) 7F (p. 151, 161)	<ul> <li>Are there incentive and guidelines?</li> <li>If no, why not? What steps can be taken to create one?</li> <li>If yes, are they written down somewhere and shareable?</li> </ul>
6.4	Treatment court has a range of response options which are individualized based on participant circumstances and proximal and distal behaviors	7E (p. 151, 158- 160) 7F (p. 151, 161)	<ul> <li>What responses have you utilized?</li> <li>Is there flexibility in which responses are used?</li> <li>Write a list of all responses used and why each response is used. As a team, discuss the implications of those responses; what do you expect the parent or family to gain from those responses?</li> </ul>
6.5	Treatment court has a range of incentives and sanctions (including verbal praise, tangible items such as certificates, and alternatives to jail such as community service, writing essays, etc.)	7E (p. 151, 158- 160)	<ul> <li>Have kind of responses have you utilized?</li> <li>Are there responses you'd like to utilize, but haven't been able to?</li> <li>What are the barriers to these other responses?</li> </ul>
6.6	Parenting time (visitation) is never used as an incentive or sanction	6D (p. 114-115, 124-126)	<ul> <li>How is parenting time (visitation) used as an incentive?</li> <li>How is parenting time (visitation) used as a sanction?</li> <li>What are alternative options?</li> </ul>
6.7	In order to graduate participants must have a job, be in school, or be involved in some qualifying positive activity with legal means to support themselves	6I (p. 132-134)	<ul> <li>Are there listed graduation requirements for participants?</li> <li>What are the graduation requirements?</li> <li>How would adding these requirements help/hinder the participants and/or the program?</li> </ul>

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6.8	In order to graduate participants must have a sober housing environment	6I (p. 116, 132- 134)	<ul> <li>How is the program helping participants find housing?</li> <li>What barriers are there to finding and maintaining housing?</li> <li>Are there community resources that could be leveraged?</li> </ul>
6.9	If jail is used as a sanction, the treatment court reports that the typical length of jail sanctions is 6 days or less	7E (p. 151, 158- 160)	<ul> <li>How often is jail used as a sanction?</li> <li>For what actions is jail used as a sanction?</li> <li>What alternative responses could be used instead of a stay in jail?</li> </ul>
6.10	The treatment court retains participants with new possession charges (new possession charges do not automatically prompt termination)	3A (p. 42, 43-45) 3B (p. 42, 45-49) 3D (p. 42, 51-53) 4A (p. 62, 64-66)	<ul> <li>Why are participants with new possession charges not retained?</li> <li>If retained, what would it look like for the parent to continue participating in FTC as they navigate the criminal charges?</li> <li>Following the <i>Blake</i> decision, has this policy changed?</li> </ul>
7.1	Participants have court sessions (status review hearings) every 2 weeks, or once per week, in the first phase	2D (p. 35, 37-38) 7D (p. 150, 155- 158) Adult Drug Court 1 III. E. (p. 21, 23)	<ul> <li>How often do participants come to court during the first phase?</li> <li>Is it possible for weekly or every other week court sessions?</li> </ul>
7.2	Judge spends an average of 3 minutes or more per participant during court sessions (status review hearings)	2D (p. 35, 37-38)	<ul> <li>How long does the judge typically spend with each participant?</li> <li>What are ways the judge could increase the time spent with each participant?</li> <li>Are there additional probing questions that could be asked to talk about specific services parents are receiving?</li> </ul>

7.3	The judge's term is as least 2 years or indefinite	2F (p. 35, 39)	<ul> <li>How long is the judge's term?</li> <li>Who is responsible for determining term lengths?</li> <li>What could be done to increase this term to at least 2 years?</li> </ul>
7.4	The judge was assigned to treatment court on a voluntary basis	2F (p. 35, 39)	<ul> <li>How is the judge assigned to FTC?</li> <li>What is the process for requesting a change in how judges are assigned to the treatment court?</li> </ul>
7.5	In the final phase of the treatment court program, the clients appear before the judge in court at least once per month	2D (p. 35, 37-38) 7D (p. 150, 155- 158) Adult Drug Court 1 III. E. (p. 21, 23)	<ul> <li>How often do participants appear before the judge in the final phase?</li> <li>What could the team do to increase this to at least once a month?</li> </ul>
7.6	The judge has received training on the treatment court model	2E (p. 35, 38)	<ul> <li>What has prevented the judge from receiving training on the treatment court model?</li> <li>Are there resources that provide this training the judge could access?</li> </ul>
7.7	The judge has had training on the legal and constitutional issues related to treatment courts	2E (p. 35, 38)	<ul> <li>What has prevented the judge from receiving training on constitutional issues related to treatment courts?</li> <li>Are there resources that provide this training the judge could access?</li> </ul>
8.1	The results of program evaluations have led to modifications in treatment court operations	8B (p. 172, 174- 176)	<ul> <li>Has the treatment court completed a program evaluation?</li> <li>If yes, why were there no modifications to operations?</li> <li>If no, why has the treatment court not completed a program evaluation?</li> </ul>

8.2	Review of program data and/or regular reporting of program statistics has led to modifications in treatment court operations	8B (p. 172, 174- 176)	<ul> <li>Does the treatment court regularly review program data and statistics?</li> <li>Why has this review not led to modifications in treatment court operations?</li> <li>How will the team implement regular review of program data and statistics?</li> </ul>
8.3	Program statistics have been reviewed for disparities in participant entry and exit statistics	3A (p. 42-45) 3B (p. 42, 45-49) 8B (p. 172. 174- 175)	<ul> <li>Is this data readily viewable?</li> <li>If not, how will the team begin tracking participant entry and exit statistics?</li> <li>How often will the team review this data for disparities?</li> </ul>
8.4	The treatment court maintains data that are critical to monitoring and evaluation in an electronic database (rather than paper files)	8A (p. 172-174)	<ul> <li>How are data currently maintained?</li> <li>Are there plans to move this to electronic storage?</li> <li>What has prevented the use of an electronic case management system?</li> </ul>
9.1	All new hires to the treatment court complete a formal training or orientation	1G (p. 14, 22-23)	<ul> <li>How are new hires/team members introduced to their role?</li> <li>How can the program ensure new hires/team members have a formal training or orientation?</li> </ul>
9.2	All members of the treatment court team are provided with training in the treatment court model	1G (p. 14, 22-23)	<ul> <li>Which roles are not provided with training in the treatment court model?</li> <li>How can those roles be provided this training?</li> <li>What resources or assistance would you need to implement this training on a regular basis?</li> </ul>

9.3	Treatment court staff members receive ongoing cultural competency training	3E (p. 42, 53-54)	<ul> <li>Which roles do not receive ongoing cultural competency training?</li> <li>How can those roles be provided this training?</li> <li>What resources or assistance would you need to implement this training on a regular basis?</li> </ul>
10.1	The treatment court has an oversight or advisory committee that includes community members	1D (p. 13, 19-20)	<ul> <li>Are there plans to create an oversight or advisory committee that includes community members?</li> <li>Why, or why not?</li> <li>What are the next steps in establishing this committee?</li> </ul>
10.2	The treatment court has a steering committee or policy group that meets regularly to review policies and procedures	1D (p. 13, 19-20)	<ul> <li>Who should be included in a steering committee or policy group?</li> <li>Are there community connects that could be leveraged to start this group?</li> <li>What are the next steps in establishing this committee/group?</li> </ul>